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**Prefilled syringes —**

Part 6:

**Plastic barrels for injectables and  
sterilized subassembled syringes  
ready for filling**

*Seringues préremplies —*

*Partie 6: Cylindres en plastique pour produits injectables et seringues  
pré-assemblées stérilisées préremplissables*



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## Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular, the different approval criteria needed for the different types of ISO documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see [www.iso.org/directives](http://www.iso.org/directives)).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see [www.iso.org/patents](http://www.iso.org/patents)).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation of the voluntary nature of standards, the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT) see [www.iso.org/iso/foreword.html](http://www.iso.org/iso/foreword.html).

This document was prepared by Technical Committee ISO/TC 76 *Transfusion, infusion and injection, and blood processing equipment for medical and pharmaceutical use*.

This second edition cancels and replaces the first edition (ISO 11040-6:2012), which has been technically revised. The main changes compared to the previous edition are as follows:

- Scope has been extended by adding sterilized subassembled syringes ready for filling. Appropriate requirements and test methods have been included;
- general requirements have been added on quality systems, testing, and documentation;
- requirements on labelling have been revised;
- requirements on packaging have been added;
- requirements on syringes barrels have been revised by:
  - adding requirements and related test methods for flange breakage and tip breakage (cone or staked in needle head) resistance, and
  - adding requirements on lubrication.

A list of all parts in the ISO 11040 series can be found on the ISO website.

Any feedback or questions on this document should be directed to the user's national standards body. A complete listing of these bodies can be found at [www.iso.org/members.html](http://www.iso.org/members.html).

## Introduction

Ampoules and injection bottles have been mainly used as primary packaging material for the administration of injectables. However, for the injection of the liquid medicinal products stored in these containers, a hypodermic syringe combined with the appropriate injection cannula is also needed. This requires that the medicinal product be transferred into the hypodermic syringe before its final use. This procedure is not only time-consuming; it can also easily result in mix-ups and possible contamination.

In conjunction with the appropriate sealing components, prefilled single-use syringes conforming to this document form a safe system for the transport, storage and administration of medicine. Due to relatively simple handling procedures, they permit fast injection of the medicinal products contained within them.

Such prefilled syringes permit immediate injection of the product contained after relatively simple handling. These syringes can also be used in injectors with automated functions where further and particular requirements apply.

In more recent years, new technological developments have been made to provide prefilled syringes on the basis of polymers as a material for the barrel of a prefilled syringe system; these developments have been spurred by progress in polymer science and introduction of novel polymers.

This document can also be used by engineers as a basis for the development and marketing of standardized filling and processing equipment, e.g. so-called tub and nest filling presentations. Manufacturers of filling equipment and ancillary processing equipment can use this document to achieve a certain degree of unification with regard to the design of these standardized items of equipment.

Based on the dimensions of the prefilled syringes, appropriate components, such as rubber plungers, tip caps, needle shields, and other closure systems can also be standardized. In conjunction with the right sealing components, they offer a system for (parenteral) injectable use. It is advised to contact the component and system provider for verifying the component compatibility, e.g. for silicone-oil free or lubricant free systems or if specific matching of components is required. The producers of filling machines can apply this document to achieve a degree of standardization in the equipment of the machines.

For sterilized sub-assembled syringes ready for filling, the responsibility for the process steps relevant to the injectable product lies with the manufacturer<sup>1)</sup>. Following the assembly of the needle shield on syringes with a staked needle or tip caps for the Luer cone version, the subassembled syringes are placed into nests. The nests, in turn, are placed into a plastic tub. The syringes in the nest are protected by means of an insert liner and the tub itself is sealed by a sealing lid (which is currently and, so far, primarily achieved using a porous material). Thus, the tub properly sealed with the sealing lid represents the "sterile barrier system". The sealed tub is then wrapped into a sealable bag and, thus, ready for sterilization. Various sterilization methods can be applied with polymer syringes e.g. Gamma, E-beam, X-Ray irradiation, Moist Heat (autoclave), ethylene oxide.

The sterilized subassembled syringes ready for filling are delivered to the pharmaceutical companies in a sterile condition, where they are processed on suitable machines.

Compatibility tests with the intended drug product are carried out under the responsibility of the market authorization holder before the final approval is granted. This is described in 11040-8.

**NOTE** Primary packaging materials are an integral part of medicinal products. Thus, the principles of the current Good Manufacturing Practices (cGMP) apply to the manufacturing of these components (e.g. ISO 15378).

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1) Washing after injection moulding for endotoxin reduction can be eliminated provided that the moulding, assembling and packaging steps into the sealed sterile barrier system (tub and nest) takes place in a monitored cleanroom (ISO 14644) and accompanied by microbial cleanroom monitoring.

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# Prefilled syringes —

## Part 6:

# Plastic barrels for injectables and sterilized subassembled syringes ready for filling

## 1 Scope

This document specifies materials, dimensions, quality, and performance requirements, as well as test methods for polymer barrels and sterilized subassembled syringes ready for filling, intended for single use only.

This document also specifies those components that are part of the sterilized subassembled syringe ready for filling.

Polymer barrels and sterilized subassembled syringes ready for filling in accordance with this document are intended for single use only.

Components to complete the subassembled syringe, such as plunger and rod, are not specified in this document.

Prefilled syringes can be produced on dedicated and specifically designed processing equipment such as inline moulding and filling. This document does not apply but can be used also for such dedicated prefilled syringes.

## 2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO 7864:2016, *Sterile hypodermic needles for single use — Requirements and test methods*

ISO 7886-1, *Sterile hypodermic syringes for single use — Part 1: Syringes for manual use*

ISO 8871-1, *Elastomeric parts for parenterals and for devices for pharmaceutical use — Part 1: Extractables in aqueous autoclavates*

ISO 9626, *Stainless steel needle tubing for the manufacture of medical devices — Requirements and test methods*

ISO 10993-1, *Biological evaluation of medical devices — Part 1: Evaluation and testing within a risk management process*

ISO 14971, *Medical devices — Application of risk management to medical devices*

ISO 80369-1, *Small-bore connectors for liquids and gases in healthcare applications — Part 1: General requirements*

ISO 80369-7, *Small-bore connectors for liquids and gases in healthcare applications — Part 7: Connectors for intravascular or hypodermic applications*

### 3 Terms and definitions

For the purposes of this document, the following terms and definitions apply.

ISO and IEC maintain terminological databases for use in standardization at the following addresses:

- ISO Online browsing platform: available at <https://www.iso.org/obp>
- IEC Electropedia: available at <http://www.electropedia.org/>

#### 3.1

##### **customer**

business entity which purchases *syringe barrels* (3.6) or *sterilized subassembled syringes ready for filling* (3.7) and conducts further processing or filling as appropriate

#### 3.2

##### **manufacturer**

business entity which performs or is otherwise responsible for the manufacturing of the *syringe barrels* (3.6) (plastic barrels for injectables) or for the *sterilized subassembled syringes ready for filling* (3.7) by the *customer* (3.1)

#### 3.3

##### **needle shield**

syringe closure used with staked needle subassembled syringes that is designed to protect the needle point/bevel from damage, to allow sterilization of the needle, and to maintain sterility of the contents of the syringe and of the needle up to the time of injection

#### 3.4

##### **prefilled syringe**

container system filled with the injectable product ready for injection

Note 1 to entry: Components of prefilled syringes are barrel, needle, closure system, plunger, and rod. Examples of sterilized subassembled syringes ready for filling including components are given in [Annex A](#).

#### 3.5

##### **staked needle syringe**

syringe with a needle integrated into the barrel

Note 1 to entry: The fixation can be done by insert moulding, gluing or other bonding methods.

#### 3.6

##### **syringe barrel**

cylindrical polymer body with front end and finger flange

Note 1 to entry: See [Figure 1](#).

Note 2 to entry: The syringe barrel can be equipped with a staked needle.

#### 3.7

##### **sterilized subassembled syringe ready for filling**

subassembly that has been pre-treated, consisting of a *syringe barrel* (3.6) and a closure system

Note 1 to entry: The subassembly has been pre-treated by applying the following processes, as applicable:

- injection moulding;
- assembling/lubricating needle;
- applying a lubricant to syringe barrel inner surface;
- sealing the syringe with a closure system;
- packaging (see ISO 11040-7);



— sterilization.

Note 2 to entry: Examples of sterilized subassembled syringes ready for filling including components are given in [Annex A](#).

### 3.8

#### **syringe closure system**

component or multi-component system designed to close the syringe system at the front end that is designed to allow sterilization of the syringe tip and maintain sterility of the contents of the syringe up to the time of injection

EXAMPLE Tip cap, *needle shield* ([3.3](#)), tamper-evident closure system.

## 4 General requirements

### 4.1 Quality systems

The activities described within this document shall be carried out within a formal quality system.

NOTE 1 ISO 15378 contains requirements for a suitable quality management system for primary packaging materials for medicinal products.

NOTE 2 ISO 14971 can be used as a tool for conducting risk assessment.

NOTE 3 ISO 13485 can be used as a tool for design control during development phase and contains requirements for a suitable quality management system.

### 4.2 Testing

**4.2.1** Any suitable test system can be used when the required accuracy (calibration) and precision (gauge repeatability and reproducibility) can be obtained. The gauge repeatability and reproducibility of the test apparatus shall be no greater than 20 % of the allowed tolerance range for any given measurement. For destructive test measurements, the gauge repeatability and reproducibility shall be no greater than 30 % of the allowed tolerance range. At a minimum, the gauge repeatability and reproducibility should cover  $\pm 2$  standard deviations (thereby covering approximately 95 % of the variation).

EXAMPLE A measurement system with a measurement specification limit of  $\pm 0,01$  ml (range of 0,02 ml) comes out of the gauge repeatability and reproducibility with a gauge repeatability and reproducibility/tolerance range ratio of 20 %, which means that the gauge repeatability and reproducibility (four standard uncertainties) equals  $0,02 \text{ ml}/5 = 0,004 \text{ ml}$ . The uncertainty of the measurement is  $\pm 2$  standard deviations (see ISO/IEC Guide 98-3), which equals to 0,002 ml.

**4.2.2** The sampling plans used for the selection and testing of sterilized subassembled syringes ready for filling or components thereof shall be based upon statistically valid rationale.

NOTE Examples of suitable sampling plans are given in ISO 2859-1 and ISO 3951 (all parts).

**4.2.3** Unless agreed otherwise, testing shall be performed at ambient laboratory conditions.

### 4.3 Documentation

**4.3.1** Demonstration of conformity with the requirements of this document shall be documented.

**4.3.2** All documentation shall be retained for a specified period of time. The retention period shall consider factors such as regulatory requirements, expiration date, and traceability.

**4.3.3** Documentation of conformity with the requirements can include, but is not limited to, performance data, specifications, and test results from validated test methods.

4.3.4 Electronic records, electronic signatures, and handwritten signatures executed to electronic records that contribute to validation, process control, or other quality decision-making processes shall be reliable.

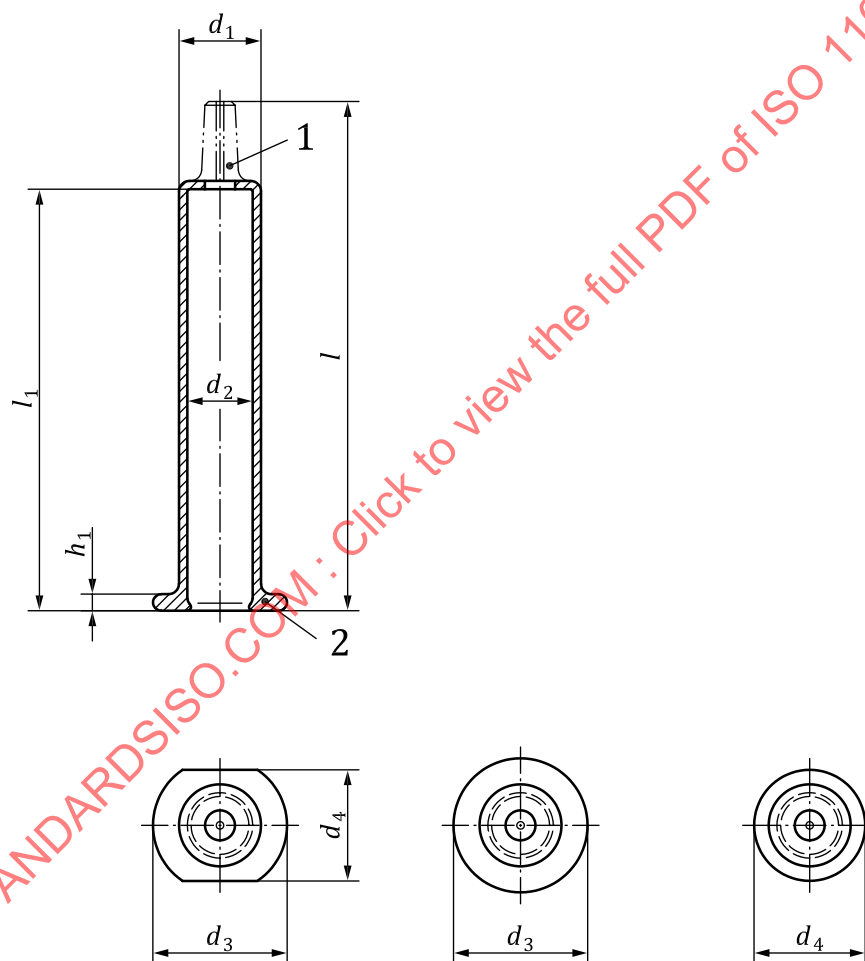
## 5 Dimensions and designation

### 5.1 Design including dimensions

5.1.1 The dimensions of the syringe barrel shall be as shown in [Figure 1](#) and as given in [Table 1](#).

The type of head design shall be agreed upon between the manufacturer and the customer. For the Luer tip and the Luer lock design, ISO 80369-1 shall apply, and ISO 80369-7 apply.

5.1.2 If printing of the barrel is required, it shall be agreed between the manufacturer and the customer.



#### Key

- 1 front end
- 2 back end

NOTE 1 Edges can be slightly rounded.

NOTE 2 The design of the finger flange is agreed between the manufacturer and the customer.

**Figure 1 — Typical example of a barrel and polymer finger flange for a prefilled syringe**

The dimensions of the barrel shall be in accordance with [Figure 1](#) and [Table 1](#). These are the minimum required dimensions. Depending on the application, any other dimensions should be agreed between the manufacturer and the customer. Head designs of polymer barrels are shown in [Annex B](#).

When there are particular dimensional requirements, which are common when a syringe is used in combination with injectors, it is recommended that these requirements be agreed between the supplier and the customer.

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Table 1 — Barrel dimensions

Dimensions in millimetres

Nominal volume	Nominal dimension tolerances						
	$d_1$	$d_2^a$	$l$	$l_1$	$h_1$	$d_3$	$d_4$
ml							
0,5	6,8 to 8,2 ± 0,1 6,8 to 9,7 ± 0,1 <sup>b</sup>	4,6 to 4,8 ± 0,1	57,0 to 64,8 ± 0,2	47,5 to 54,1 ± 0,2	1,8 to 2,1 ± 0,1	13,4 to 13,8 ± 0,1	10,5 to 11,0 ± 0,1
1 <sup>c</sup>	8,1 to 9,7 ± 0,1	6,3 to 6,5 ± 0,1	64,0 to 64,5 ± 0,2	54,0 to 54,5 ± 0,2	1,9 to 2,3 ± 0,1	13,7 to 13,8 ± 0,1	10,5 to 11,0 ± 0,1
1 <sup>d</sup>	10,8 to 11,4 ± 0,1	8,5 to 8,75 ± 0,1	45,9 to 46,9 ± 0,2	35,2 to 35,9 ± 0,2	1,9 to 2,3 ± 0,1	17,75 ± 0,1	14,70 ± 0,1
2,25	10,8 to 11,4 ± 0,1	8,5 to 8,75 ± 0,1	64,4 to 66,8 ± 0,2	53,9 to 54,6 ± 0,2	1,9 to 2,3 ± 0,1	17,75 ± 0,1	14,70 ± 0,1
3	10,8 to 11,6 ± 0,1	8,5 to 8,75 ± 0,1	82,4 to 84,6 ± 0,2	71,7 to 72,4 ± 0,2	1,9 to 2,3 ± 0,1	17,75 ± 0,1	14,70 ± 0,1
5	14,4 to 15,0 ± 0,1	11,7 to 12,2 ± 0,1	76,5 to 80,0 ± 0,2	64,3 to 66,7 ± 0,2	2,0 to 3,1 ± 0,15	22,9 to 23,1 ± 0,1	19,40 to 19,9 ± 0,1
10	16,6 to 18,0 ± 0,1	14,1 to 14,7 ± 0,1	97,7 to 100,5 ± 0,3	86,2 to 87,3 ± 0,2	2,0 to 3,1 ± 0,15	26,9 to 27,4 ± 0,1	21,50 to 21,9 ± 0,1
20	21,2 to 22,7 ± 0,15	18,2 to 19,1 ± 0,15	107,3 to 120,2 ± 0,3	95,6 to 109,1 ± 0,2	2,0 to 3,1 ± 0,15	32,25 to 39,0 ± 0,15	25,15 to 26,1 ± 0,15
50	29,2 to 32,3 ± 0,2	26,4 to 29,3 ± 0,2	128,8 to 151,2 <sup>e</sup> ± 0,5	118,7 to 128,2 <sup>e</sup> ± 0,5	2,0 to 3,5 ± 0,2	45,00 to 50,1 ± 0,2	33,2 to 39,10 ± 0,2
100	35,2 to 35,5 ± 0,2	31,8 to 32,2 ± 0,2	169,8 ± 0,5	156,4 ± 0,5	2,7 to 3,1 ± 0,2	47,65 ± 0,2	41,45 ± 0,2
<sup>a</sup> For the specification of the inner diameter, the specification of the plunger shall be considered with regard to break loose force and sustaining force as well as for plunger/ barrel seal tightness. The size of the inner diameter also depends on the polymer material.							
<sup>b</sup> This range is dedicated to barrels with an integrated Luer lock.							
<sup>c</sup> Long.							
<sup>d</sup> Short or standard.							
This range is required in order to consider particular applications, such as pumps and injectors.							

## 5.2 Design requirements

### 5.2.1 Head design

The type of head design shall be agreed upon between the provider of the barrel component and the customer responsible for filling and finishing the polymer prefilled syringe. For the Luer tip and the Luer lock design, ISO 80369-7 shall apply. [Annex B](#) includes certain examples of head designs.

### 5.2.2 Dead space

When tested in combination with the selected plunger stopper, the dead space in the barrel and the tip with the plunger stopper fully inserted shall be determined as given in ISO 7886-1. Specification should be agreed upon between the manufacturer and customer.

### 5.2.3 Functional testing of Luer cone/Luer lock connection

The functional performance of the polymer prefilled syringe barrel with regard to the Luer tip or Luer lock connection shall be demonstrated through performance testing in accordance with ISO 80369-7.

### 5.2.4 Flange breakage resistance

Syringe barrels shall provide an appropriate flange breakage resistance. Limit values are subject to agreement between the manufacturer and the customer.

The flange breakage resistance shall be determined in accordance with [C.1](#).

**NOTE** The flange breakage resistance test method is a reference test to provide a consistent measure for comparison of the performance of different syringes and can potentially be used as a quality measure to assess changes and monitor production. The test method can be adjusted to simulate specific use conditions of the syringe system, e.g. use in auto-injectors.

### 5.2.5 Syringe tip breakage resistance

Syringe barrels shall provide an appropriate syringe tip breakage resistance. Limit values are subject to agreement between the manufacturer and the customer.

The syringe tip breakage resistance shall be determined in accordance with [C.2](#).

Diameter of syringe holder according to [Figure C.4](#) shall be adjusted to the syringe outer diameter given in [Table C.1](#).

**NOTE** The syringe tip breakage resistance test method is a reference test to provide a consistent measure for comparison of the performance of different syringes and can potentially be used as a quality measure to assess changes and monitor production. The test method can be adjusted to simulate specific use conditions of the syringe system, e.g. use in auto-injectors.

## 6 Requirements

### 6.1 General

The attention of the provider of the barrel component and the customer responsible for filling and finishing the plastic prefilled syringe is drawn to applicable performance requirements in monographs of, for example, the European Pharmacopoeia (Ph. Eur.), the United States Pharmacopoeia (USP) or the Japanese Pharmacopoeia (JP).

The manufacturer shall have documented procedures for the design and development of sterilized subassembled syringes ready for filling.

NOTE ISO 15378 and ISO 13485 contain requirements for a suitable quality management system for primary packaging materials for medicinal products.

## 6.2 Material

### 6.2.1 General

The following properties should be considered when selecting the raw materials or components and the design of the sterilized subassembled syringe ready for filling:

- a) microbial barrier;
- b) biocompatibility and toxicological attributes;
- c) physical and chemical properties;
- d) ability for sterilization and compatibility with respect to the intended sterilization process;
- e) maintenance of sterility of the subassembly;
- f) shelf-life limitations;
- g) functionality regarding fill-finish;
- h) robustness of the closure system during transport from the manufacturer to the customer.

The material shall exhibit the appropriate performance properties, e.g. oxygen and water vapour permeability.

NOTE For guidance on materials, as well as polymer material codification, see [Annex I](#).

### 6.2.2 Duty of notification concerning modifications to polymers

Change control and notification procedures, need to be in place between the company transforming the polymer into a syringe and the pharmaceutical company using it for injectable drug products.

NOTE 1 Requirements are given in ISO 15378 and ISO 13485.

NOTE 2 Particular attention is drawn to change control procedures and notification of changes by suppliers of raw material.

### 6.2.3 Needle

**6.2.3.1** If the sterilized subassembled syringe ready for filling is delivered with a staked needle, the requirements in [6.2.3.2](#) to [6.2.3.5](#) apply.

**6.2.3.2** The needle shall fulfil the following material, dimensional, and design requirements:

- material and dimensions of the needle tubing shall be in accordance with ISO 9626; For tapered needles, needle manufacturers shall define how to apply the functional tests, specifically needle stiffness and resistance to breakage on the basis of a specific risk assessment carried out in accordance with ISO 14971;
- the bonding strength between the syringe and the needle shall be in accordance with ISO 7864;
- actual needle length shall be in accordance with ISO 7864:2016, Figure 2.

When there are particular requirements on needle tip height from the flange or the shoulder, which are both common when a syringe with staked needle is used in injectors, the dimension should be agreed upon between the manufacturer and the customer.

Specific design features of the needle should be agreed upon between the manufacturer and the customer.

**6.2.3.3** The needle shall be surface-treated using a lubricant (e.g. silicone oil).

NOTE 1 This is to minimize the pain when the needle penetrates the skin during injection.

For silicone oil, attention is drawn to applicable requirements in respective pharmacopoeias Ph. Eur. 3.1.8, USP NF <<dimethicone>> and silicone oil standards.

Limit values on needle penetration force may need to be established using a risk assessment and usability engineering process.

Needle penetration force measurements can be useful to detect needle point and lubrication defects, but might not be correlated with injection pain.

NOTE 2 A suitable test method for the determination of the needle penetration force is given in [Annex F](#).

**6.2.3.4** The needle lumen patency shall be as specified in ISO 7864, if applicable.

**6.2.3.5** If adhesive is used for fixing the needle inside the tip, attention is drawn to the requirements of relevant pharmacopoeias and/or other national or regional requirements. See also ISO 10993-1.

The fixation of the needle in the tip shall be tested in accordance with Clause [G.1](#). This test method does not specify a limit for the pull-out force because this is subject to agreement between the manufacturer and the customer. See also limit values specified in ISO 7864.

## **6.2.4 Closure system**

**6.2.4.1** The material that can contact the injectable product shall meet applicable requirements of ISO 8871-1. For additional regional or national requirements of pharmacopoeias, see type I or type II requirements of Ph. Eur. 3.2.9, USP <381> and JP 7.03 that is applicable to volumes >100 ml.

**6.2.4.2** The closure system shall allow for sterilization.

Conformity shall be demonstrated by suitable methods.

NOTE For ethylene oxide sterilization and/or steam sterilization, the design, including the material of the closure system, ensures that all components have sufficient ethylene oxide gas and water vapour permeation so that during sterilization, these gases reach both the cone of the Luer syringe and the needle through the sealing components.

The closure system shall provide an appropriate liquid leakage resistance when tested in accordance with [G.2](#).

Limit values are subject to agreement between the manufacturer and the customer.

**6.2.4.3** Luer conical fittings, if used, shall be in accordance with ISO 80369-7, ISO 80369-20, and ISO 80369-1.

**6.2.4.4** Luer Lock Adaptor (LLA) collar systems shall withstand a pull-off force of at least 22 N when tested in accordance with [G.3](#).

NOTE This pull-off force is consistent with the minimum needle pull-off force as specified in ISO 7864:2016, Table 2, for needles with an outer diameter of 0,5 mm and smaller.

**6.2.4.5** Luer Lock Adaptor (LLA) collar systems shall withstand a specified torque resistance when tested in accordance with [G.4](#).

The minimum torque resistance is subject to agreement between the manufacturer and the customer.

**6.2.4.6** The design of closure systems shall be such that

- tip caps (if used) can be removed from the syringe with a reasonable torque force,
- tip caps or needle shields (as applicable) can be removed from the syringe with a reasonable pull-off force, and
- tip caps or needle shields maintain the sterility of the Luer cone or needle.

The maximum allowed torque and the pull-off force, respectively, shall be agreed upon between the manufacturer and the customer.

The test(s) shall be performed in accordance with [G.5](#) and [G.6](#), respectively.

### **6.2.5 Closure system integrity**

The components of sterilized subassembled syringes ready for filling shall provide sealing against each other during filling, applicable final sterilizations, and throughout storage and transport, also through or in different external air pressures.

Closure system integrity shall be demonstrated with a validated method. The dye solution tightness test in [Annex H](#) is a valuable method to test the tightness of a sterilized subassembled syringe ready for filling in the design development phase.

NOTE Deterministic methods such as helium-leakage, high voltage leak detection as well as probabilistic methods such as microbial ingress can be considered.

## **6.3 Physical requirements**

### **6.3.1 Sterilization**

Sterilized subassembled syringes ready for filling shall have been sterilized to a Sterility Assurance Level (SAL) of  $10^{-6}$  using a suitable validated sterilization method (e.g. ISO 11135, ISO 17665-1, ISO 11137 (all parts) or ISO 14937).

### **6.3.2 Clarity and transparency**

Clarity and transparency requirements before and after sterilization as well as test methodology need to be agreed upon between manufacturer and customer.

Any possible colouring, for example regarding light shielding, shall be agreed between the customer and the manufacturer of the primary packaging material.

### **6.3.3 Particulate contamination**

Sterilized subassembled syringes ready for filling shall be manufactured by processes that reduce the risk of particulate contamination.

Current pharmacopoeias identify visible particulates as undesirable but do not define the size or put a limit on the allowable number. It is recommended that the manufacturer and the customer agree upon the size and number of visible particles and the test method.

The particle-related specifications given in pharmacopoeias (e.g. Ph. Eur., USP, JP) do not apply to empty containers.



For sub-visible particles, the following applies:

- particles  $\geq 10 \mu\text{m}$ : 600 max. per syringe;
- particles  $\geq 25 \mu\text{m}$ : 60 max. per syringe.

NOTE 1 These limits have been derived from the USP <788> (small volume parenterals) limit values for filled containers with a nominal volume of less than 100 ml. The limit of the subassembly, which is 10 % of the USP <788>, supports the customer to fulfil the USP requirements on the syringe system. This value has been chosen based on historical proven capability using the light obscuration method as given in [D.2](#).

NOTE 2 See also Ph. Eur. 2.9.19, Ph. Eur. 2.9.20, USP <788>, JP 6.06, as well as JP 6.07.

#### 6.3.4 Lubricants

For silicone oil, attention is drawn to applicable quality and quantity requirements in respective pharmacopoeias. For other lubricants, appropriate in-house monographs shall be applied.

If the interior surfaces of the syringe barrel are lubricated, the lubricant shall not be visible, under normal or corrected-to-normal vision, as droplets or particles. Limit values and distribution of the amount of lubricant are subject to agreement between the manufacturer and the customer.

NOTE 1 Lubrication of the inner surface of the syringe barrel is applied in order to improve gliding properties. This is usually done by siliconization (e.g. by application of a high-viscosity silicone oil to the inner syringe surface or with silicone followed by curing treatment).

If silicone oil is used, attention is drawn to applicable requirements in respective pharmacopoeias Ph. Eur.3.1.8, USP NF <<dimethicone>>.

NOTE 2 [Annex E](#) includes a suitable test method for the determination of the quality and consistency of the lubrication using a gliding force test.

#### 6.4 Chemical requirements

The materials used for manufacturing the syringes shall be chosen such that the risk of them releasing chemical constituents that can migrate into the injectables is minimized.

NOTE 1 For test methods, see regional or national pharmacopoeias or the EMA Guideline for chemical constituents of extracts<sup>[30]</sup>.

For investigation of extractables of the sterilized sub-assembled syringe, the impact of all packaging materials around the syringe as provided to the customer shall be considered.

NOTE 2 The investigation can insure that printing inks or adhesive labels used on the polymer syringes do not affect the performance of the syringe and do not pose an unacceptable risk towards the contents and/or the patient.

#### 6.5 Endotoxins and biological requirements

The material shall conform to biological requirements, i.e. toxic, cytotoxic, bacteriostatic, bactericidal, pyrogenic or haemolytic reactions.

NOTE 1 In many countries, national or regional pharmacopoeias, state regulations or standards specify in detail suitable tests for assessing biological safety. Examples are the Ph. Eur., USP and JP.

The required tests shall be agreed in accordance with ISO 10993-1 between the manufacturer of the primary packaging material and the customer.

For endotoxins, the limit value for syringes shall be <0,25 EU/ml considering the nominal volume according to [Table 1](#).

NOTE 2 For rationale, see USP monograph on sterile water for injection according to USP <1231>.

Extraction method and testing are specified in regional and national pharmacopoeias:

- for extraction method, see USP <161>;
- for testing, see Ph Eur, 2.6.14, method c), USP <85> and JP 4.01.

NOTE 3 A sample preparation is given in [Annex D](#). This is based on applicable pharmacopoeias.

## **7 Graduation**

If fill-lines or graduation marks are applied, ISO 7886-1 can be considered.

## **8 Packaging and labelling**

For packaging systems and labelling requirements for sterilized subassembled syringes ready for filling, see ISO 11040-7.

Labelling of packaging of plastic barrels for injectables is subject to agreement between the manufacturer and the customer.

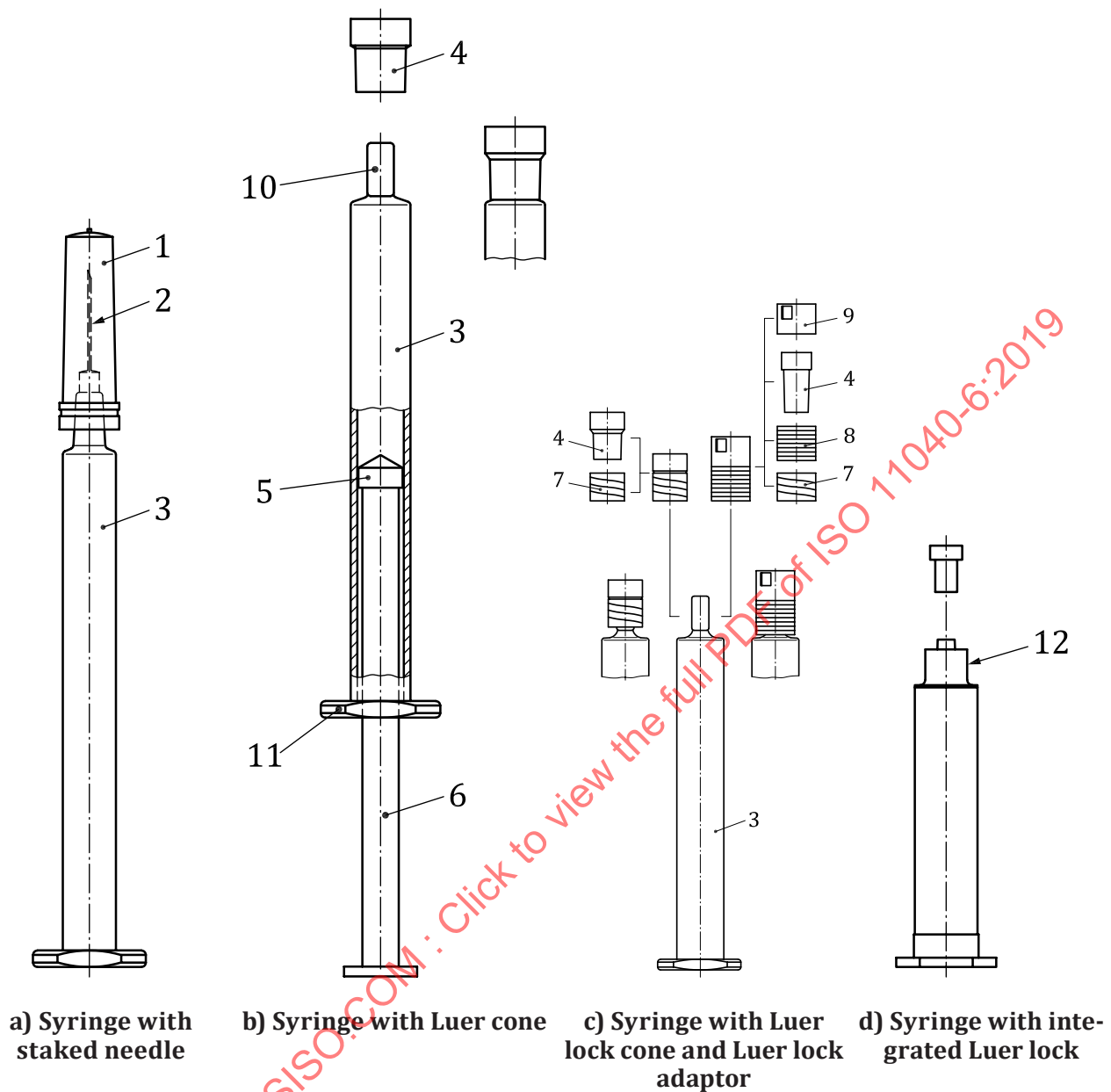
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## **Annex A** (informative)

### **Examples of types of sterilized subassembled syringes ready for filling**

#### **A.1 Components**

[Figure A.1 a\)](#) to [Figure A.1 d\)](#) illustrate common components of sterilized subassembled syringes ready for filling.



**Key**

- |   |                |    |                               |
|---|----------------|----|-------------------------------|
| 1 | needle shield  | 7  | Luer lock adaptor             |
| 2 | needle         | 8  | rigid sleeve                  |
| 3 | syringe barrel | 9  | protective tamper-evident cap |
| 4 | tip cap        | 10 | front end of the syringe      |
| 5 | plunger        | 11 | back end of the syringe       |
| 6 | plunger rod    | 12 | integrated Luer lock          |

NOTE Plunger (5) and plunger rod (6) are not within the scope of this document.

**Figure A.1 — Examples of sterilized subassembled syringes ready for filling including components of closure systems**

## A.2 Description of closure systems

### A.2.1 General

Closure components close the syringe such that the injectable product remains entirely enclosed and that microbiological contamination of the content of the syringe is avoided. The closure components are mounted onto the syringe body of the sterilized subassembled syringe ready for filling by the manufacturer. This subassembly is then packed in a suitable packaging system and then sterilized by ethylene oxide or another method.

The closure system can comprise of

- Luer cone, with or without lock, that can be closed using a tip cap, and
- needle and needle shield.

Examples are given in [Figure A.1 a\)](#) to [Figure A.1 d\)](#).

### A.2.2 Closures for syringes with Luer cone in accordance with ISO 80369-7

Syringes with Luer cone are closed with a tip cap of an appropriate closure material.

For schematic illustration, see [Figure A.1 b\)](#).

### A.2.3 Closures for syringes with Luer lock adaptor in accordance with ISO 80369-7

Syringes with Luer lock adaptor are closed with a tip cap of an appropriate closure material which is, after assembly with a polymer Luer lock adaptor comprising a thread standardized in accordance with ISO 80369-7, snapped onto the Luer cone of the syringe such that both parts together form the conical Luer lock.

These closure systems are available with or without tamper evidence in various designs.

For schematic illustration, see [Figure A.1 c\)](#).

### A.2.4 Closures for syringes with integrated Luer lock in accordance with ISO 80369-7

Syringes with integrated Luer Lock are closed with a tip cap of an appropriate closure material.

For schematic illustration, see [Figure A.1 d\)](#).

### A.2.5 Syringe with staked needle

Syringes with a staked needle are closed with a needle shield or rigid needle shield.

It is important that the needle tip, and particularly the opening of this tip, is completely embedded into the elastomer of the needle shield to ensure sealing.

For schematic illustration, see [Figure A.1 a\)](#).

## Annex B (informative)

### Head designs

Figure B.1 shows a head design of a barrel for syringe with staked needle.

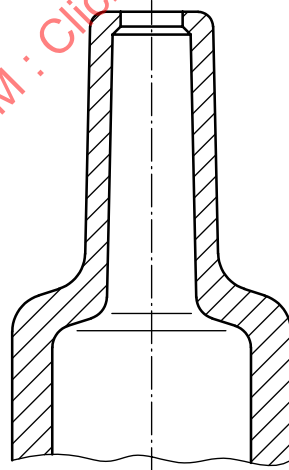


a Depending on needle diameter.

NOTE Bore can also be cylindrical.

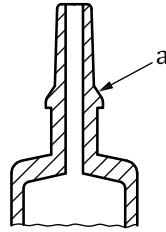
**Figure B.1 — Model A: Head design of a barrel for syringe with staked needle**

Figure B.2 shows a head design of a barrel with a 6 % Luer cone according to ISO 80369-7.



**Figure B.2 — Model B: Head design of a barrel with a 6 % Luer cone according to ISO 80369-7**

Figure B.3 shows a head design of a barrel with a 6 % Luer cone for Luer Lock according to ISO 80369-7.

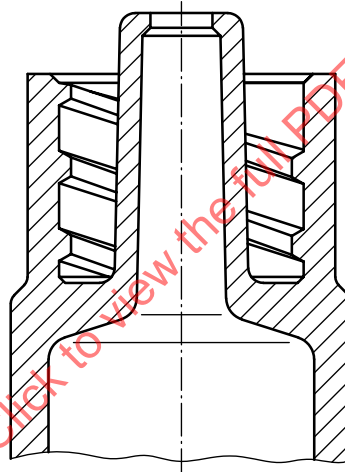


<sup>a</sup> To be agreed upon between the manufacturer and the customer.

NOTE Particular requirements on Luer tip height from the flange or shoulder, which both are common when a syringe is used in injectors, are subject to agreement between the manufacturer and the customer.

**Figure B.3 — Model C: Head design of a barrel with a 6 % Luer cone for Luer Lock according to ISO 80369-7**

Figure B.4 shows a head design of a barrel with an integrated Luer Lock according to ISO 80369-7.



**Figure B.4 — Model D: Head design of a barrel with an integrated Luer Lock according to ISO 80369-7**

## Annex C (normative)

### Test methods for syringe barrels

#### C.1 Flange breakage resistance

##### C.1.1 Principle

The test is used to determine the flange breakage resistance by applying a force on a syringe barrel that has been placed in a cylinder holder under the flange.

##### C.1.2 Materials

Syringe barrels to be tested, numbers as required.

##### C.1.3 Apparatus

**C.1.3.1 Universal tensile and compression testing machine**, (attention shall be paid on bench overall rigidity for high-level resistance) in accordance with the following:

- load cell 2 500 N or as appropriate to the force to be measured;
- test speed of 100 mm/min or as appropriate;
- sampling rate of at least 100 Hz.

NOTE Definition of load cell, test speed, and sampling rate is subject to agreement between the manufacturer and the customer.

**C.1.3.2 Syringe holder**, made of an appropriate material [e.g. polyether ether ketone, (PEEK)] or stainless steel and of appropriate dimensions.

Materials and design depend upon the intended use. This is subject to agreement between the manufacturer and the customer. [Table C.1](#) and [Figure C.1](#) include examples for dimensions of a syringe holder.

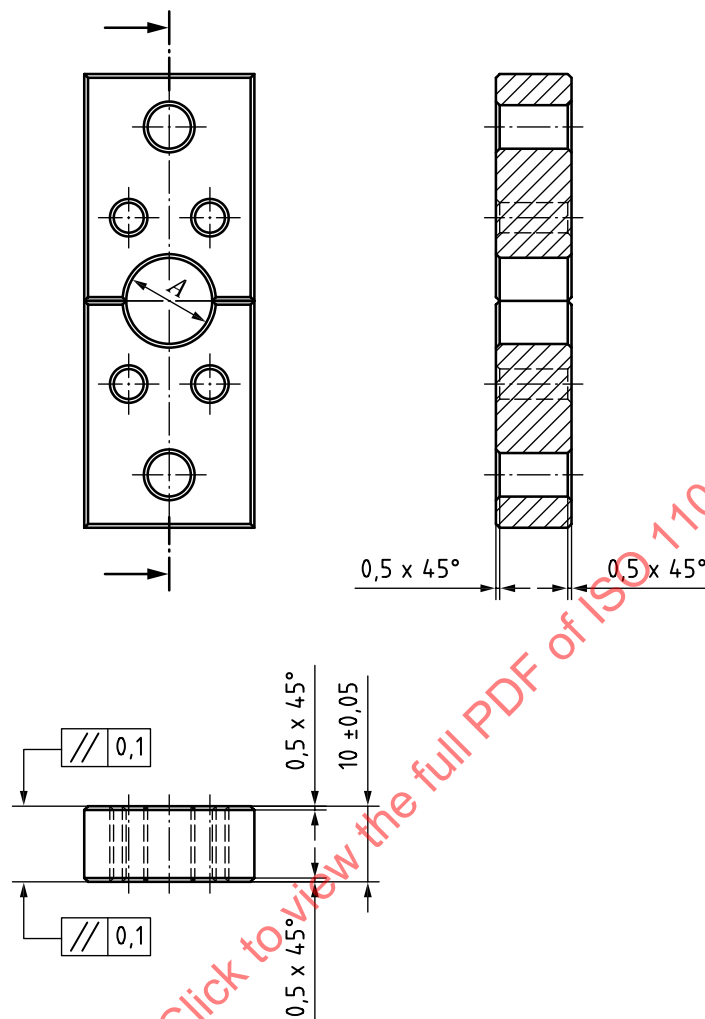
**Table C.1 — Examples for dimensions of the syringe holder and loading pin**

Dimensions in millimetres

Syringe barrel outer diameter (OD)	Diameter <i>A</i> (see <a href="#">Figure C.1</a> )	Diameter <i>b</i> (see <a href="#">Figure C.2</a> )	Radius <i>c</i> (see <a href="#">Figure C.2</a> )
OD	OD + 0,5	80% of inner diameter of syringe (ID)	<i>b</i> /2



Dimensions in millimetres



NOTE For diameter A, see [Table C.1](#)

**Figure C.1 — Example of a syringe holder**

**C.1.3.3 Loading pin**, made of an appropriate material and of appropriate dimensions.

NOTE 1 Materials and design (e.g. radius of curvature adjusted to the internal syringe shoulder design) depend upon the intended use. This is subject to the agreement between the manufacturer and the customer. [Table C.1](#) and [Figure C.2](#) include examples for dimensions of the loading pin.

NOTE 2 Polyacetale, shore hardness D according to ISO 7619-1 between 80 and 90 is a suitable material of the contact area of the loading pin. The rod can be made of stainless steel.

## C.1.4 Preparation and preservation of test samples

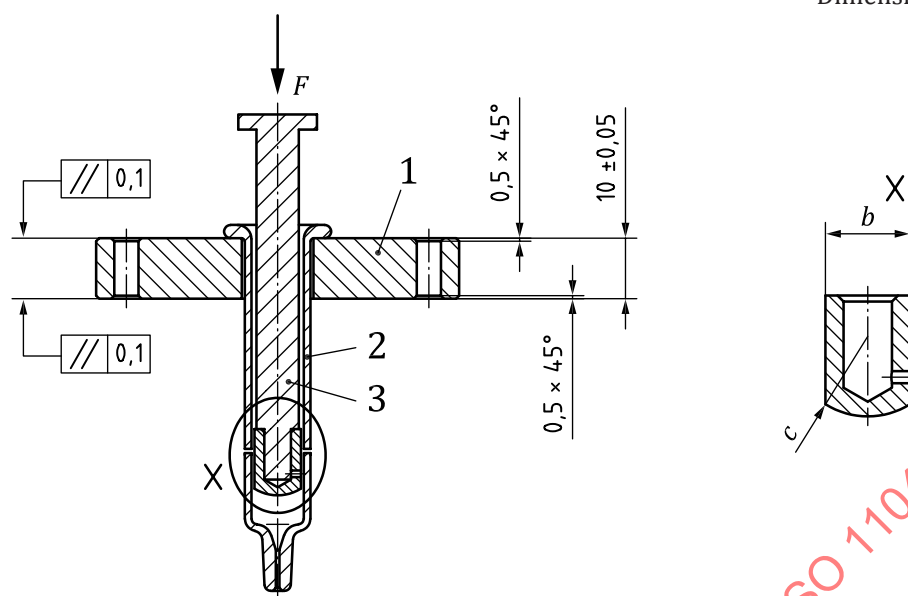
Attention shall be paid not to shock the test samples before testing.

Inspect the syringe holder and the loading pin for damage prior to testing and change regularly.

## C.1.5 Procedure

**C.1.5.1** Place the syringe barrel to be tested in the syringe holder and position the loading pin close to the syringe barrel depth as illustrated in [Figure C.2](#).

Dimensions in millimetres

**Key**

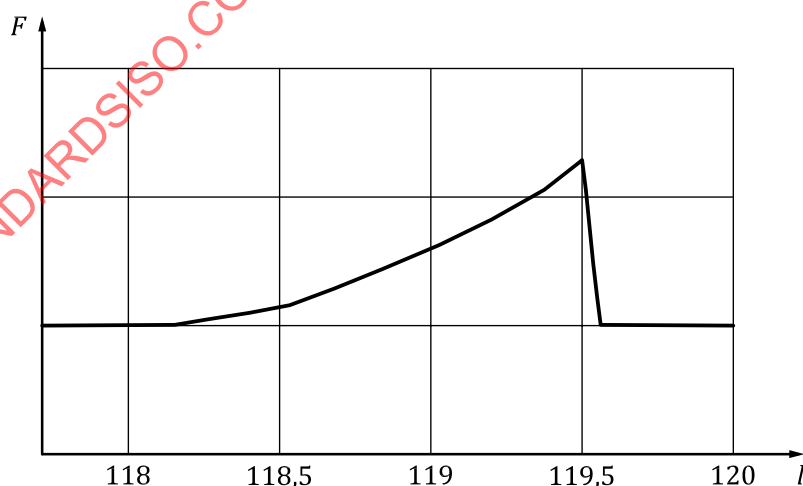
- 1 syringe holder
- 2 syringe barrel
- 3 loading pin

NOTE For diameter  $b$  and radius  $c$ , see [Table C.1](#).

**Figure C.2 — Placement of the syringe barrel and the loading pin**

**C.1.5.2** Start the test by applying a test speed of 100 mm/min or as appropriate and a sampling rate of at least 100 Hz.

**C.1.5.3** Record the force versus displacement and prepare a graph. An example is given in [Figure C.3](#).

**Key**

- $F$  force in newtons
- $l$  distance in millimetre

**Figure C.3 — Example of a force versus displacement curve**

### C.1.6 Expression of results

Determine the peak value from the displacement curve. This corresponds to the flange resistance (flange strength).

### C.1.7 Test report

The test report shall include the following:

- the test speed (mm/min);
- the sampling rate (Hz);
- the peak value from the force versus displacement curve for each sample (N);
- the numbers of samples tested;
- any deviations or observations.

## C.2 Luer cone breakage resistance

### C.2.1 Principle

Many Luer syringes are equipped with a Luer connector. Especially for syringes with diluents or syringes for water for injections, the Luer connector is often used to connect to a vial adapter in order to provide a safe reconstitution of a lyophilized vial.

This subassembly (syringe barrel, vial adapter and vial) is big in axial size and therefore, during reconstitution and handling charged by mechanical load through the user. The weakest point of this subassembly is the front end of the syringe that can be charged by a side load.

The Luer cone breakage resistance test is used to determine the strength of the cone that is determined by the geometry and plastic material characteristics.

### C.2.2 Materials

Luer syringe barrels to be tested, numbers as required.

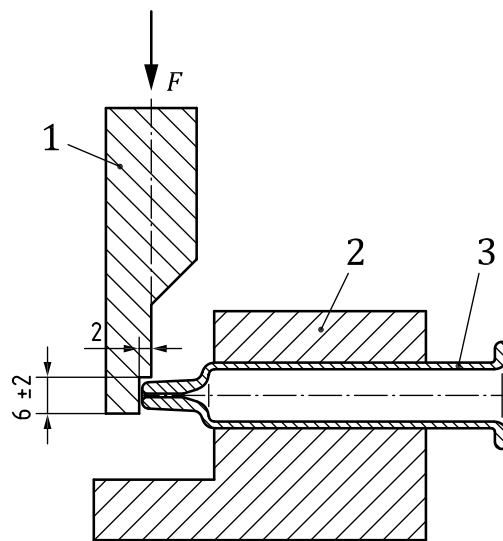
### C.2.3 Apparatus

**C.2.3.1 Universal tensile and compression testing machine**, (attention shall be paid on bench overall rigidity for high-level resistance) in accordance with the following:

- load cell 2 500 N or as appropriate to the force to be measured;
- test speed of 25 mm/min or as appropriate;
- sampling rate of at least 100 Hz.

NOTE Definition of load cell, test speed, and sampling rate is subject to agreement between the manufacturer and the customer.

**C.2.3.2 Material holder and loading pin made of stainless steel**, for dimensions, see [Figure C.4](#).

**Key**

- 1 compression testing machine with loading pin
- 2 syringe holder
- 3 syringe barrel

**Figure C.4 — Example of a tensile and compression testing machine including holder with the syringe barrel inserted**

### C.2.3.3 Adapter set which fits syringe geometries

## C.2.4 Procedure

### C.2.4.1 Set up the test apparatus as follows:

- Check the adapters for damage and correctness.
- Assemble the adapters to the tensile and compression testing machine.
- Check for security elements, plastic breakage will occur.
- Install and open correct software to the tensile and compression testing machine, if required.

### C.2.4.2 Perform the test as follows:

- Place the syringe barrel in the tensile and compression testing machine (see [Figure C.4.](#)).
- Close the security elements.
- Start the measurement applying a test speed of 25 mm/min, or as appropriate, and a sampling rate of at least 100 Hz.
- Charge the syringe barrel until cone breaks; apply the force at a distance of approximately 2 mm from the tip of the syringe barrel.
- Remove the syringe barrel from the adapter.
- Clean the adapter from plastic residues.
- Make sure that it breaks at the tip.

### C.2.5 Expression of results

Record the maximum force at which the Luer cone breaks.

### C.2.6 Test report

The test report shall include the following:

- the test speed (mm/min);
- the sampling rate (Hz); (the higher the sampling rate the more accurate the results);
- distance from the tip of the point where the syringe is charged (mm); (approximately 2 mm);
- maximum force at breakage (N);
- the numbers of samples tested;
- any deviations or observations.

## Annex D (informative)

### Sample preparation for endotoxin and particulate determination

#### D.1 Endotoxins

##### D.1.1 General

The sample preparation for endotoxin determination is based on the following documents:

- Guidance for industry, pyrogen and endotoxins testing, questions and answers;
- USP <161>;
- USP <85>;
- AAMI ST72:2011.

##### D.1.2 Materials and equipment

**D.1.2.1 Sterilized syringes** (i.e. sterilized by ethylene oxide or moist heat), not less than 3 and not more than 10 syringes.

**D.1.2.2 Plunger stopper**, endotoxin-free or has a vendor-certified maximum endotoxin level.

**D.1.2.3 Endotoxin-free water of injection or *Limulus Amebocyte Lysate (LAL)* reagents**, as extraction fluid having a temperature of  $(37 \pm 1) ^\circ\text{C}$ .

**D.1.2.4 Shaker.**

**D.1.2.5 Endotoxin-free container.**

##### D.1.3 Procedure

**D.1.3.1** Protect the endotoxin-free container from environmental contamination until analyzed, i.e. work in a controlled environment such as ISO 5 according to ISO 14644-1.

**D.1.3.2** Fill the syringes with extraction fluid up to the nominal fill volume of the syringe.

**D.1.3.3** Close the syringes with the plunger stopper.

**D.1.3.4** Store the filled and closed syringes for not less than 1 h at least at room temperature.

**D.1.3.5** Shake the syringes vigorously for 10 min on a horizontal shaker (or similar device).

**D.1.3.6** Pool the extract into an endotoxin-free container by pushing the plunger stopper and empty the syringes through the front end (Luer cone, staked needle).

**D.1.3.7** Determine the number of endotoxin units (EU/ml) of the extract using the method as given in USP <85> including “positive” and “negative” samples.

The limit of the extraction fluid can be calculated according to USP <161> using [Formula \(D.1\)](#):

$$\frac{K \times N}{V} \quad (D.1)$$

where

$K$  is the amount of endotoxin allowed per syringe;

$N$  is the number of devices tested;

$V$  is the total volume of extract rinse.

Ensure that the sensitivity of test reagent is high enough to allow a proper detection limit of endotoxins for pooled samples.

**EXAMPLE** For 1 ml nominal fill volume and an endotoxin limit <0,25 EU/ml and a sensitivity of the reagent of 0,02 EU/ml, the “alarm” limit for 10 pooled syringes would be 0,20 EU/ml which is <0,25 EU/ml.

## D.2 Particulates

### D.2.1 General

The sample preparation for particulate matter determination is based on USP <788> and Ph. Eur. 2.9.19.

### D.2.2 Materials and equipment

**D.2.2.1 Sterilized syringes** (i.e. sterilized by ethylene oxide or moist heat), numbers as required.

**D.2.2.2 Plunger stopper and plunger rod**, numbers as required.

**D.2.2.3 Water**, for injection or any grade of purified water.

**D.2.2.4 Container**.

### D.2.3 Procedure

**D.2.3.1** Protect the container from environmental contamination until analyzed, i.e. work in a controlled environment, e.g. ISO 5 according to ISO 14644-1.

**D.2.3.2** Prepare particle-free water by filtration of water for injection or any grade of purified water through a 0,2 µm to 0,8 µm filter unit.

**D.2.3.3** Rinse all the needed equipment (e.g. beakers, dosage systems) with particle-free water.

**D.2.3.4** Transfer a minimum of 30 ml of particle-free water into a cleaned container and let it rest undisturbed for a minimum of 2 min to allow degassing of air bubbles.

**D.2.3.5** Determine the particle content of the particle-free water but disregard the first measurement as it is only used to clean the measurement system.

**D.2.3.6** The limits for the particle-free water by light obscuration are

- 10 particles  $\geq 10 \mu\text{m}$ , and
- 2 particles  $\geq 25 \mu\text{m}$ .

If the particle content is within the limit, continue with the sample preparation.

If the particle content is not within the limit, repeat the filtration and measurement until the particle-free water is within specification ([D.2.3.2](#) to [D.2.3.5](#)).

**D.2.3.7** Fill the syringes with nominal volume and close with clean plunger stopper.

**D.2.3.8** Invert the syringes 20 times.

NOTE It can be necessary to agitate the solution more vigorously to suspend the particles properly.

**D.2.3.9** Remove the tip cap/needle shield and dispense the contents of the syringes into a cleaned container by depressing the plunger with a plunger rod.

**D.2.3.10** Let the solution rest un-disturbed for a minimum of 2 min to allow degassing of air bubbles.

**D.2.3.11** Determine the particle content per syringe but disregard the first measurement as it is only used to clean the measurement system.

The limits for the containers by light obscuration are

- 600 particles  $\geq 10 \mu\text{m}$ , and
- 60 particles  $\geq 25 \mu\text{m}$

NOTE A minimum pooled sample volume of 25 ml is needed to perform four runs of 5 ml each. The first run is always discarded. The average is calculated for the remaining three test runs. Depending on the nominal fill volume of the syringes, a certain amount of syringes is needed for 1 pool:

- 25 syringes 1 ml 25 ml pool;
- 13 syringes 2 ml 25 ml pool;
- 12 syringes 2,25 ml 25 ml pool;
- 9 syringes 3 ml 25 ml pool;
- 5 syringes 5 ml 25 ml pool.

To avoid air bubbles in the measuring device, it is recommended to add an extra 5 ml to the pool (30 ml pool volume).

Depending on the batch size of the syringes produced, multiple pools can be required.

The number of particles in each container can be calculated using [Formula \(D.2\)](#):

$$\frac{P \times V_t}{V_a \times n} \quad (\text{D.2})$$



where

$P$  is the average particle count obtained from the portion of container;

$V_t$  is the volume of pooled sample (ml);

$V_a$  is the nominal volume of the syringe (ml);

$n$  is the number of containers pooled.

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## Annex E (informative)

### Evaluation of syringe lubrication by glide force test method

#### E.1 Purpose

This test method is used to assess the quality and consistency of lubrication within the inner syringe barrel by measuring the glide force of the empty syringe barrel. The ability to assess the quality and consistency of lubrication can be dependent on the test speed used.

**NOTE** Typically, a test speed of 100 mm/min (similar to ISO 7886-1) is used; however, it can be insufficient to detect lubrication defects. The test speed is subject to agreement between the manufacturer and the customer.

Break loose force is not part of this test method because break loose force is applicable to the syringe (complete system).

#### E.2 Materials

**E.2.1 Empty sterilized subassembled syringes** ready for filling, adequate sample size corresponding to the sampling plan in [4.2.2](#) is required.

**E.2.2 Plunger stoppers (piston)** in ready-to-use format, to be agreed upon between the manufacturer and the customer (dimensions, compound, siliconization level, sterilization).

**E.2.3 Plunger rods**, appropriate for use with selected plunger stopper, to be agreed upon between the manufacturer and the customer.

#### E.3 Apparatus

**E.3.1 Universal tensile and compression testing machine** in accordance with the following:

- test speed of 100 mm/min or as appropriate;
- force range up to 50 N or as appropriate;
- sampling rate as appropriate

**NOTE** Definition of test speed and force range is subject to agreement between the manufacturer and the customer.

**E.3.2 Syringe support stand and syringe adaptor plates**, appropriately sized for the sterilized subassembled syringes ready for filling to be tested.

**E.3.3 Vent tube stoppering tool or machine.**

## E.4 Procedure

**E.4.1** Set the plunger stopper in the empty syringe barrel by using the vent tube insertion method. Select stopper position(s) based on the following areas of concern:

- focus on front portion of barrel; sensitive area for auto-injector performance: Select a position corresponding to 50 % of nominal fill volume (e.g. 27 mm from back of barrel flange to back of plunger stopper, 1 ml-long syringe);
- characterization of entire barrel: Select a position corresponding to nominal fill volume (e.g. 10 mm from back of barrel flange to back of plunger stopper, 1 ml-long syringe).

**E.4.2** Install the plunger rod into or onto the plunger-stopper.

NOTE The plunger rod can be with or without thread.

**E.4.3** Remove the needle shield or other front closure from the sterilized subassembled syringe ready for filling.

**E.4.4** Place the sterilized subassembled syringe ready for filling in the adaptor plate on the force-measurement instrument.

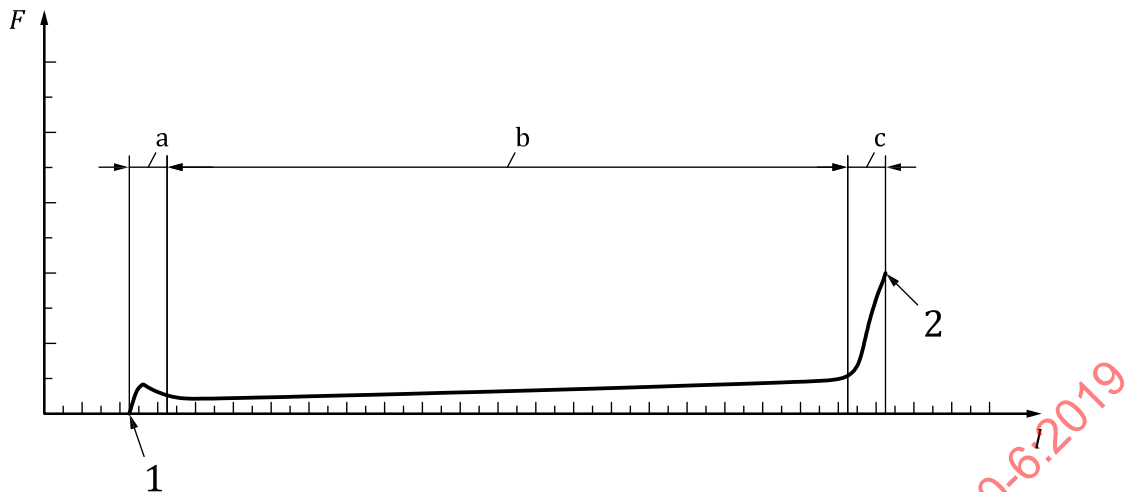
**E.4.5** Start the compression at the designated speed.

**E.4.6** End the test when the plunger stopper comes into contact with the shoulder of the syringe barrel.

**E.4.7** Repeat the steps [E.4.1](#) to [E.4.6](#) for additional test samples.

**E.4.8** Record the maximum force in the glide force test region (see footnote b in [Figure E.1](#)). The glide force test region is defined as the region between the break loose and the sharp increase of the force at the end of the stroke. See [Figure E.1](#).

Limit values should be agreed between the manufacturer and the customer.



**Key**

- 1 start of stopper movement
- 2 end of testing condition
- $F$  force in newtons
- $l$  distance in millimetre
- a Break loose region.
- b Glide force test region.
- c End of stroke region.

**Figure E.1 — Example illustrating gliding characteristics**

## E.5 Test report

The test report should include the following:

- maximum gliding force in the glide force test region (footnote b) (N);
- sampling rate (Hz);
- calculated average gliding force (N);
- numbers of tested samples;
- any deviations or observations.

## **Annex F**

### **(informative)**

## **Needle penetration test**

### **F.1 Principle**

This test method is used to determine the needle penetration force by piercing a test foil with a needle. The test has been derived from ISO 7864.

### **F.2 Apparatus**

**F.2.1 Universal tensile and compression testing machine** in accordance with the following:

- measuring range up to 50 N or as appropriate;
- test speed within the range 20 mm/min to 200 mm/min or as appropriate;
- sampling rate as appropriate.

**NOTE** Definition of measuring range and test speed is subject to agreement between the manufacturer and the customer.

**F.2.2 Needle holder.**

### **F.3 Materials**

**F.3.1 Test foil**, specification to be agreed upon between the manufacturer and the customer.

**F.3.2 Syringes with a staked needle as supplied**, i.e. siliconized.

### **F.4 Procedure**

**F.4.1** Fix the test foil tension-free in the holder.

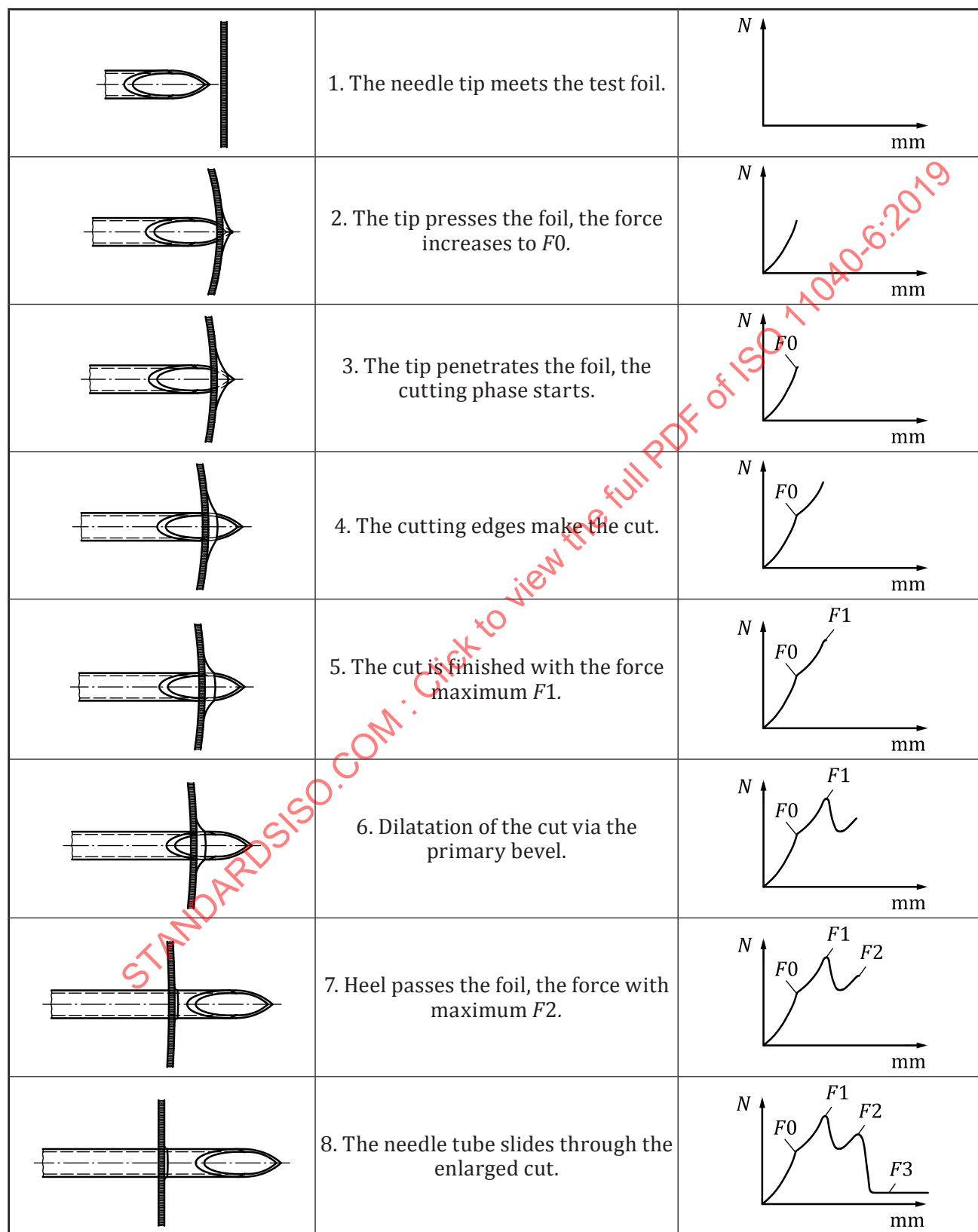
**F.4.2** Fix the needle in the needle holder perpendicular to the test foil and with the tip to the geometric centre of the free area of the test foil.

**F.4.3** Start the test and penetrate the test foil with the needle.

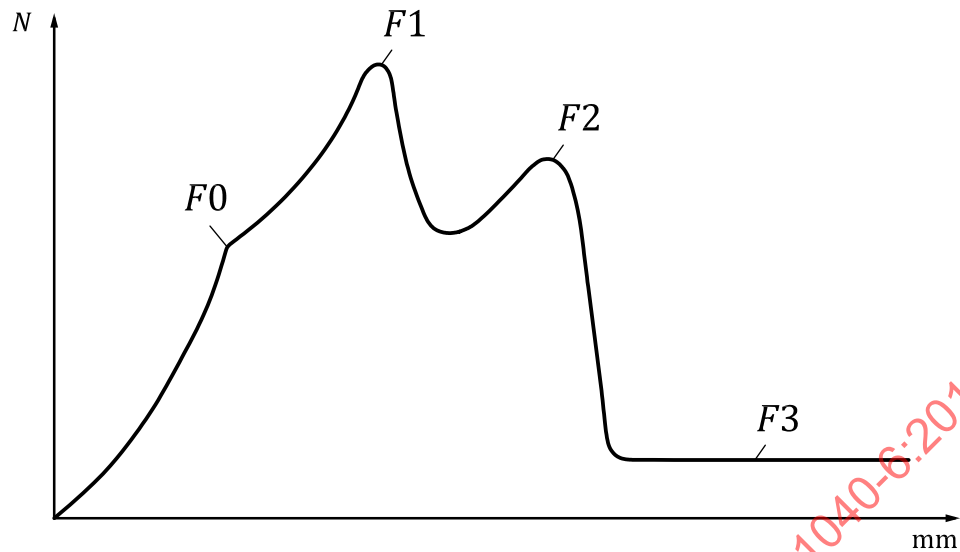
**F.4.4** Record the force versus displacement curve.

**F.4.5** Use a new (not perforated) foil section for each penetration test.

Examples on penetration force behaviour and force versus displacement curve are given in [Figure F.1](#) and [Figure F.2](#).



**Figure F.1 — Stages of the penetration process**



#### Key

- $F0$  force for passing the tip (piercing force)
- $F1$  force for cutting with the cutting edges (cutting force)
- $F2$  force where the heel passes the foil
- $F3$  drag penetration force

NOTE The illustration given is an example only. This curve might not be representative of all needles and test foils.

**Figure F.2 — Example of a force versus displacement curve**

## F.5 Test report

The test report should include the following:

- specification of the test foil;
- test speed (mm/min);
- sampling rate (Hz);
- force versus displacement curves;
- numbers of tested samples;
- any deviations or observations.

## **Annex G** **(normative)**

### **Test methods for syringe closure systems**

#### **G.1 Needle pull-out force**

##### **G.1.1 Principle**

The test is used to assess the fixation of the needle to the staked needle syringe.

It is mainly designed to verify whether the needle fixation process is appropriate to show that the staked needle withstands a needle size (gauge) dependent pull-out force according to ISO 7864.

##### **G.1.2 Materials**

Sterilized subassembled syringes ready for filling with a staked needle, numbers as required.

##### **G.1.3 Apparatus**

**G.1.3.1 Universal tensile and compression testing machine** in accordance with the following:

- load cell of max 500 N or as appropriate for the force to be measured;
- test speed of 50 mm/min or as appropriate;
- sampling rate of minimum 65 Hz.

NOTE Definition of load cell, test speed, and sampling rate is subject to agreement between the manufacturer and the customer.

**G.1.3.2 Syringe holder** (syringe can be fixed by shoulder or finger flange during testing).

**G.1.3.3 Needle gripper device**, designed to avoid slippage and to avoid an influence on the measurement itself.

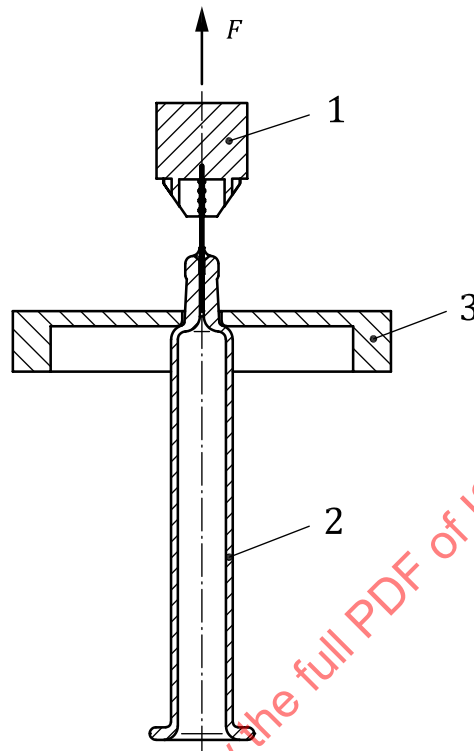
##### **G.1.4 Preparation and preservation of test samples**

The test samples shall follow the same process as the product delivered.



### G.1.5 Procedure

**G.1.5.1** Insert the test sample vertically positioned on the testing machine (see [Figure G.1](#)).



**Key**

- 1 needle gripper attached to a testing machine
- 2 syringe with staked needle
- 3 syringe holder/base plate
- $F$  force in newtons

**Figure G.1 — Position of the test sample in the tensile testing machine**

**G.1.5.2** Grip as much as possible of the needle to avoid slippage.

**G.1.5.3** Release the test sample.

**G.1.5.4** Set the load cell to “zero”. Attention shall be paid that no significant pre-load is applied when the “zero” is set.

**G.1.5.5** Apply a test speed of 50 mm/min or as appropriate, at an appropriate sampling rate (Hz).

**G.1.5.6** Start the test.

**G.1.5.7** Record the force versus displacement.

**G.1.5.8** Stop the test once the needle is clearly removed from the syringe or broken.

### G.1.6 Expression of results

Record the maximum load peak from the force versus displacement curve. This corresponds to the pull-out force of the needle system of the syringe.

### G.1.7 Test report

The test report shall include the following:

- test speed (mm/min);
- sampling rate (Hz);
- force versus displacement curve;
- peak value according to the maximum force (N);
- number of tested samples;
- any deviations or observations.

## G.2 Syringe closure system liquid leakage test

### G.2.1 Principle

The test is used to assess the liquid leakage resistance of the closure systems (needle shield or tip cap/barrel assembly).

It is mainly designed to verify whether the closure system is able to withstand any potential overpressure inside the syringe during the filling process or during transportation.

The test pressure of 110 kPa has been selected based on process conditions during the fill finish process.

### G.2.2 Reagents and materials

**G.2.2.1 Reagents of recognized analytical grade and distilled water or water of equivalent purity.**

**G.2.2.2 Sterilized subassembled syringes** ready for filling, numbers as required.

### G.2.3 Apparatus

**G.2.3.1 Universal tensile and compression testing machine or pressurization through the application of compressed air.**

NOTE Application of pressure via universal tensile and testing machine [see [Figure G.2 a\)](#)] is preferred when wall friction can be neglected. In this case, it is assumed that equilibrium is reached between the applied force and the internal pressure. If wall friction cannot be neglected, preference is given to the test as indicated in [Figure G.2 b\)](#) where the pressures are applied on the closure system through the application of compressed air on the filled media.

**G.2.3.2 Syringe holder.**

**G.2.3.4 Plunger stopper and plunger rod.**

### G.2.4 Preparation and preservation of test samples

The retention time/waiting time between closure setting and leakage testing shall be at least 12 h. Attention shall be paid not to damage and/or loosen the closure system/syringe tip prior to testing.

## G.2.5 Procedure

**G.2.5.1** Insert the test sample into the holder. See [Figure G.2](#).

**G.2.5.2** Fill the test sample to between 1/3 and 2/3 of the nominal fill volume with the reagent (see [G.2.2.1](#)).

**G.2.5.3** In case of pressurization, close the holder with the lid and secure the device.

**G.2.5.4** Apply a pressure of 110 kPa and hold the pressure for 5 s.

The correlation between the test force and the cross-sectional area of the syringe that is determined by the nominal inner diameter of the syringe can be calculated using [Formulae \(G.1\)](#), (G.2), and (G.3) (see also [Table G.1](#)):

from

$$F = p \times A \quad (\text{G.1})$$

and

$$A = \frac{\pi}{4} \times d^2 \quad (\text{G.2})$$

follows

$$F = p \times \frac{\pi}{4} \times d^2 \times 10^{-3} \quad (\text{G.3})$$

where

$F$  is the force in newtons;

$p$  is the target internal pressure (kPa) (i.e. 110 kPa);

$A$  is the cross-sectional area of the syringe barrel (mm<sup>2</sup>);

$d$  is the nominal inner diameter of the syringe barrel (mm).

**Table G.1 — Correspondence between the inner diameter of the syringe barrel and the test force**

Nominal volume of the syringe barrel ml	Nominal inner diameter of the syringe barrel mm	Calculated test force <sup>a</sup> N
0,5	e.g. 4,65	1,87
<sup>a</sup> Calculated for the target internal pressure of 110 kPa. NOTE <a href="#">Formula (G.3)</a> calculation example: $110 \text{ kPa} \times 3,14/4 \times (4,65 \text{ mm})^2 \times 10^{-3} = 1,87 \text{ N}$ .		

**G.2.5.5** Release the pressure.

**G.2.5.6** Monitor the test samples for leakage during and after the test.

## G.2.6 Expression of results

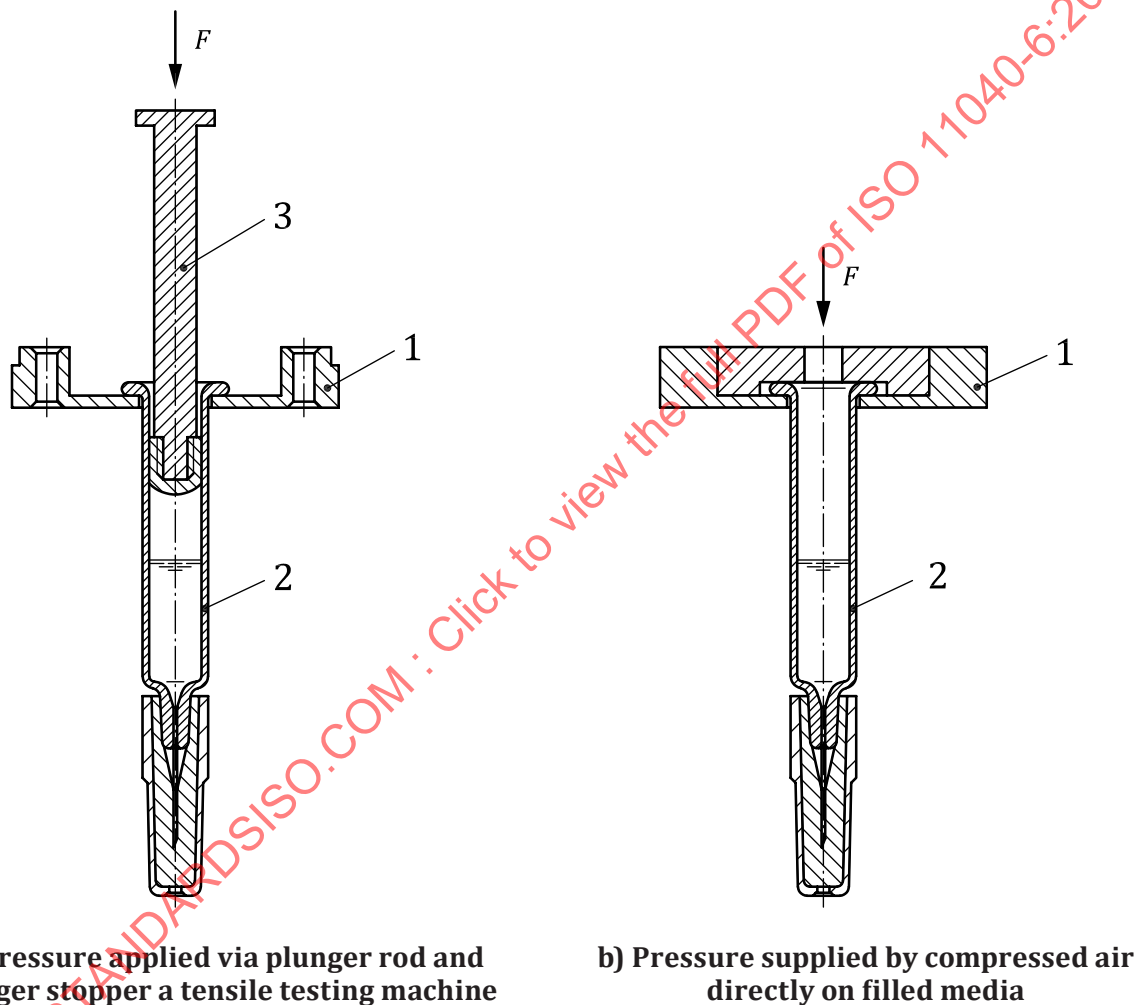
The test is passed if the tip caps are not falling off and/or if no droplets are visible around the external surfaces of the closure system (wet surface of tip cap or needle shield).

Visually examine if the test samples have passed or failed the test.

### G.2.7 Test report

The test report shall include the following:

- applied pressure (kPa) or force (N);
- number of tested samples;
- number of passed/failed samples according to specification;
- any deviations or observations.



#### Key

- 1 syringe holder
- 2 syringe with closure system
- 3 plunger rod and plunger stopper
- $F$  force in newtons

NOTE This illustration includes a syringe with a needle shield as an example. The testing is equally applicable to syringes with a tip cap.

**Figure G.2 — Examples of testing devices for the determination of closure system liquid leakage**

### G.3 Luer lock adaptor collar pull-off force

#### G.3.1 Principle

The test is used to assess the pull-off force of a Luer Lock Adaptor (LLA) collar system of sterilized subassembled syringes ready for filling.

It is mainly designed to verify whether the LLA collar system is able to withstand an axial pull-off force in order to avoid detachment of the LLA collar system from the syringe barrel by the insertion of a female 6 % (Luer) conical lock fitting.

#### G.3.2 Materials

Sterilized subassembled syringes ready for filling with LLA, number as required.

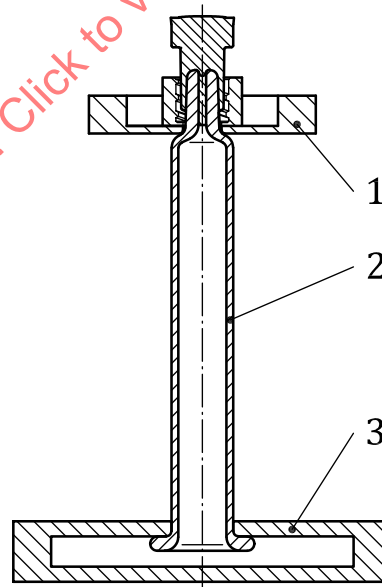
#### G.3.3 Apparatus

**G.3.3.1 Universal tensile and compression testing machine** in accordance with the following:

- test speed of 20 mm/min or as appropriate (10 N/s) (see ISO 80369-20);
- load cell appropriate to the force to be measured, typical range of load cell is between 10 N and 100 N;
- sampling rate of at least 65 Hz.

NOTE Definition of load cell, test speed, and sampling rate is subject to agreement between the manufacturer and the customer.

**G.3.3.2 Syringe holder** (see [Figure G.3](#)) and **gripper device**.



#### Key

- 1 LLA gripper plate
- 2 syringe with LLA/LLA systems
- 3 syringe holder/base plate

**Figure G.3 — Example of a testing device for the determination of the Luer lock adaptor collar pull-off force**

### G.3.4 Preparation and preservation of test samples

The test samples shall follow the same process as the product delivered.

### G.3.5 Procedure

**G.3.5.1** Remove the tip cap.

**G.3.5.2** Insert the test sample vertically positioned on the testing machine between the holder (finger flange side) and the gripper (LLA collar side).

**G.3.5.3** Make sure that no pressure/movement is applied to the LLA collar system during test assembling.

**G.3.5.4** Release the test sample.

**G.3.5.5** Set the load cell to “zero”. Attention shall be paid that no significant pre-load is applied when “zero” is set.

**G.3.5.6** Apply a test speed of 20 mm/min or as appropriate at an appropriate sampling rate.

**G.3.5.7** Record the force versus displacement.

**G.3.5.8** Stop the test once the LLA collar system is clearly removed from the syringe tip.

### G.3.6 Expression of results

Determine the load peak from the force versus displacement curve. The peak value corresponds to the pull-off force of the LLA collar system of the syringe.

### G.3.7 Test report

The test report shall include the following:

- sampling rate (Hz);
- test speed (mm/min);
- peak value (pull-off force) (N);
- number of tested samples;
- number of passed/failed samples according to specification;
- any deviations or observations.

## G.4 Luer lock adaptor collar torque resistance

### G.4.1 Principle

The test is used to assess the torque resistance of a LLA collar system of a sterilized subassembled syringe ready for filling.

It is mainly designed to verify whether the LLA collar system is able to withstand an applied torque while inserting a female 6 % (Luer) conical lock fitting (i.e. needle hub).

## G.4.2 Materials

Sterilized subassembled syringes ready for filling with LLA, number as required.

## G.4.3 Apparatus

**G.4.3.1 Torque tester combined with a rotation device** (see [Figure G.4](#)) in accordance with the following:

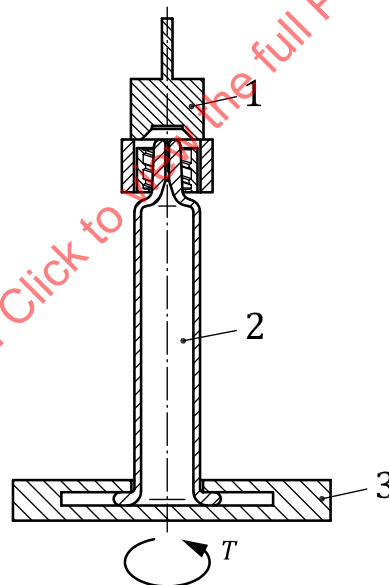
- torque cell 35 Ncm with 0,05 Ncm resolution or as appropriate to the torque to be measured;
- sampling rate of at least 65 Hz;
- rotation speed of 20 r/min or as appropriate.

NOTE 1 Definition of torque cell, sampling rate, and test speed is subject to agreement between the manufacturer and the customer.

NOTE 2 For this test, either the syringe barrel or the closure can be rotated.

**G.4.3.2 Adapter: LLA collar gripper.**

**G.4.3.3 Syringe holder** (rotatable, if this alternative is used).



### Key

- 1 LLA gripper inclusive torque sensor
- 2 syringe with LLA
- 3 syringe holder/base plate (rotatable)
- $T$  rotation

NOTE For this test, either the syringe barrel or the closure can be rotated.

**Figure G.4 — Example of testing device for the determination of the Luer lock adapter collar torque resistance, with rotatable syringe holder**

## G.4.4 Preparation and preservation of test samples and test pieces

The test samples shall follow the same process as the product delivered.

## G.4.5 Procedure

**G.4.5.1** Insert the test sample vertically positioned into the syringe holder of the testing device. See [Figure G.4](#).

**G.4.5.2** Remove the tip cap.

NOTE This can be done manually.

**G.4.5.3** Mount the adapter onto the LLA collar.

**G.4.5.4** Set the torque cell to “zero”. Attention shall be paid that no significant pre-torque is applied.

**G.4.5.5** Set the rotation speed at 20 rotations per minute or as appropriate.

**G.4.5.6** Start the test by either rotating the turntable 90° clockwise or counter clockwise, depending on the system. Alternatively, rotate the closure.

**G.4.5.7** Record the peak of the applied torque.

## G.4.6 Expression of results

Record the maximum torque measured. This corresponds to the torque where the LLA collar starts to rotate on the syringe.

## G.4.7 Test report

The test report shall include the following:

- rotation speed (°/s or r/min);
- sampling rate (Hz);
- maximum torque (Ncm);
- number of tested samples;
- number of passed/failed samples according to specification;
- any deviations or observations.

## G.5 Luer lock rigid tip cap unscrewing torque

### G.5.1 Principle

The test is used to assess the torque of a rigid tip cap of a sterilized subassembled syringe ready for filling.

It is mainly designed to verify whether the rigid tip cap can be removed from the syringe with a reasonable torque.

### G.5.2 Materials

Sterilized subassembled syringes ready for filling with a tip cap, numbers as required.